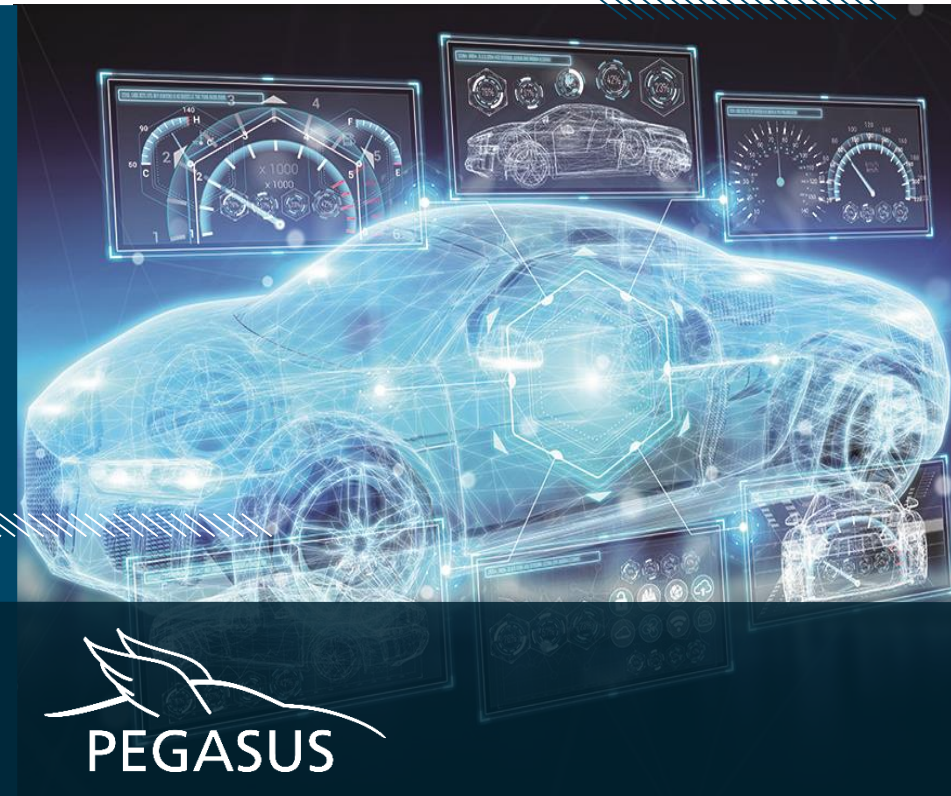


1<sup>st</sup> NDS Public Conference | June 13, 2019, Munich

# HOW SAFE IS SAFE ENOUGH? PEGASUS DELIVERS THE STANDARDS FOR HIGHLY AUTOMATED DRIVING

Udo Steininger, TÜV SÜD



© PEGASUS

Supported by:



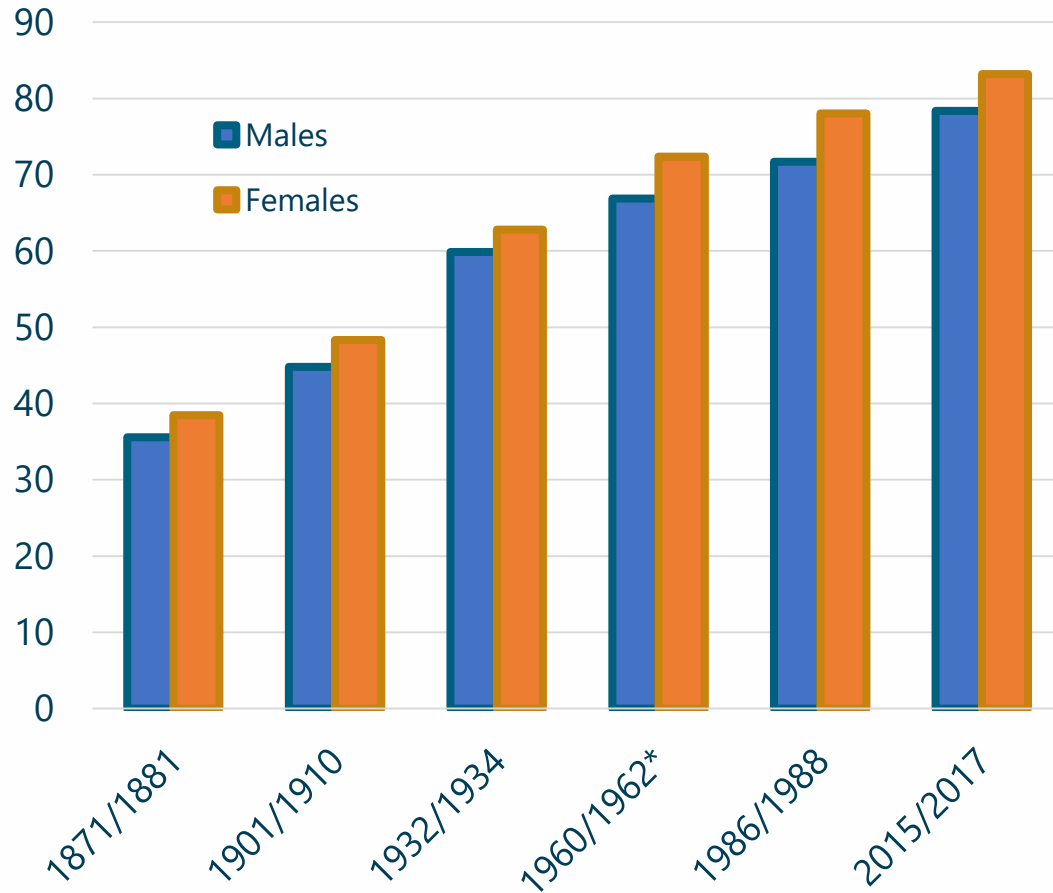
Federal Ministry  
for Economic Affairs  
and Energy

on the basis of a decision  
by the German Bundestag

To get in the mood:

# What goes wrong with our perception of safety and risk?

**AVERAGE LIFE EXPECTANCY AT BIRTH (YEARS)**



\* Former Federal Republic

<http://www.demografie-portal.de/SharedDocs/Informieren/DE/ZahlenFakten/Lebenserwartung.html>



Images: Spiegel online; ihealth; meat free monday; tasting page

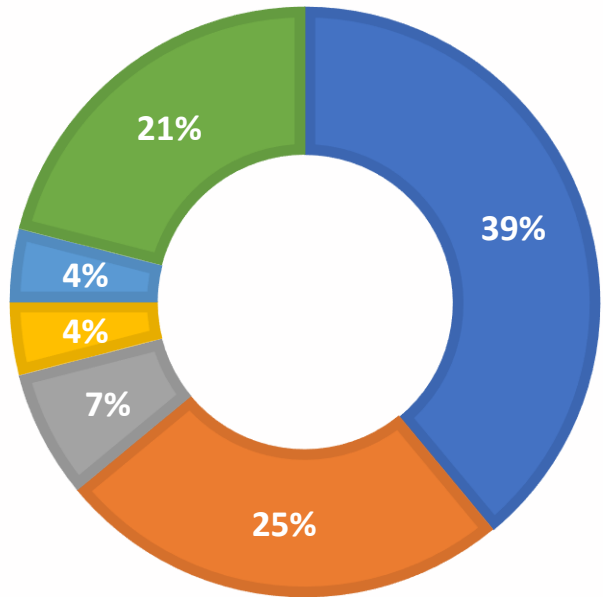


To get in the mood:

# What goes wrong with our perception of safety and risk?

## CAUSES OF DEATH

- Cardiovascular diseases
- Cancer
- Diseases of respiratory system
- Diseases of digestive system
- Non-natural causes
- Others



Statistisches Bundesamt (Destatis) Pressemitteilung Nr. 022, 19.01.2017



Images: Spiegel online; Shutterstock; Fotolia; dpa



# Content

1

**Overview**

2

Requirements

3

Scenario analysis

4

Testing

5

Conclusions and outlook

# PEGASUS contribute to answer the question ...

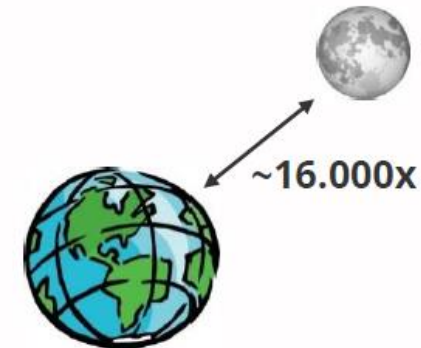
**How safe is safe enough and  
how can we verify that  
Highly Automated Driving (HAD)  
achieves the expected performance  
consistently?**

...by introducing a

**Scenario Based Approach**

... considering that it is not possible to cover the test space for HAD systems with conventional duration tests

- Actual state: 614 million kilometres between two fatal accidents on highways
- Target: Halve the risk of human drivers with 95% confidence
- Result: 6.14 billions kilometres test distance = 16.000 times distance earth to moon





# PEGASUS project

## January 2016:

### Project start with 17 partners

OEM: Audi, BMW, Daimler, Opel, Volkswagen

Tier 1: ADC, Bosch, Continental

Test Lab: TÜV SÜD

SMB: fka, iMAR, IPG, QTronic, TraceTronic, VIREs

Scientific institutes: DLR, TU Darmstadt

Subcontracts: IFR, ika, OFFIS

## Key-facts:

42 Months term

149 Man-years

34,5 Mio. EUR budget

4 Sub projects

13 Workpackages

38 Sub workpackages

## Mid 2016:

### Convention of an Advisory Board

- Federal Ministry for Economic Affairs and Energy
- Federal Ministry of Transport and Digital Infrastructure
- Federal Ministry of Justice and Consumer Protection
- German Association of the Automotive Industry (VDA)
- German Road Safety Council (DVR)
- ADAC

## Associated partner:

Federal Highway Research Institute (BAST)

dSPACE



# PEGASUS structure

November 2017:  
PEGASUS-Half-Time-Event in Aachen

## Presentation of Intermediate Results



### Scenario Analysis & Quality Measures

- What human capacity does the application require?
- What about technical capacity?
- Is it sufficiently accepted?
- Which criteria and measures can be deducted from it?



### Implementation Process

- Which tools, methods and processes are necessary?



### Testing

- How can completeness of relevant test runs be ensured?
- What do the criteria and measures for these test runs look like?
- What can be tested in labs or in simulation? What must be tested on proving grounds, what must be tested on the road?



### Reflection of Results & Embedding

- Is the concept sustainable?
- How can the PEGASUS-Partners embed the results?

For the first time: Presentation of the PEGASUS-Approach

➔ PEGASUS becomes international

# PEGASUS international

Germany: BMVI, BMWi, BMJV, KBA, BAST, DVR, ADAC, Ethics commission

PEGASUS  
Symposium  
Wolfsburg

PEGASUS  
Symposium  
Aachen

PEGASUS  
Symposium  
Wien

Europe:  
OICA → UN-ECE Horizontale Initiative;  
EU-Commission, EU Strategic Transport  
and Innovation Agenda

China: CATARC

Japan:  
METI, JAMA, Toyota,  
Honda, Nissan

Korea:  
Hyundai

PEGASUS  
Symposium Tokyo

Singapur: CETRAN

PEGASUS

Symposium  
San Francisco

US: DOT, NHTSA,  
Auto Alliance, RAND

World wide:  
ISO: ISO/TC 22/SC 33/WG 9 and WG16 as well as ISO TR21959 Part 2 und SOTIF  
DIN SAE: Spec Project Terms and definitions

Addtl. Cooperation Requests & bilateral Exchange:  
→ FP Nouvelle France Industrielle, AutoAlliance, Jaguar LandRover, Hyundai, Volvo, RDW, etc.





## Content

1

Overview

2

**Requirements**

3

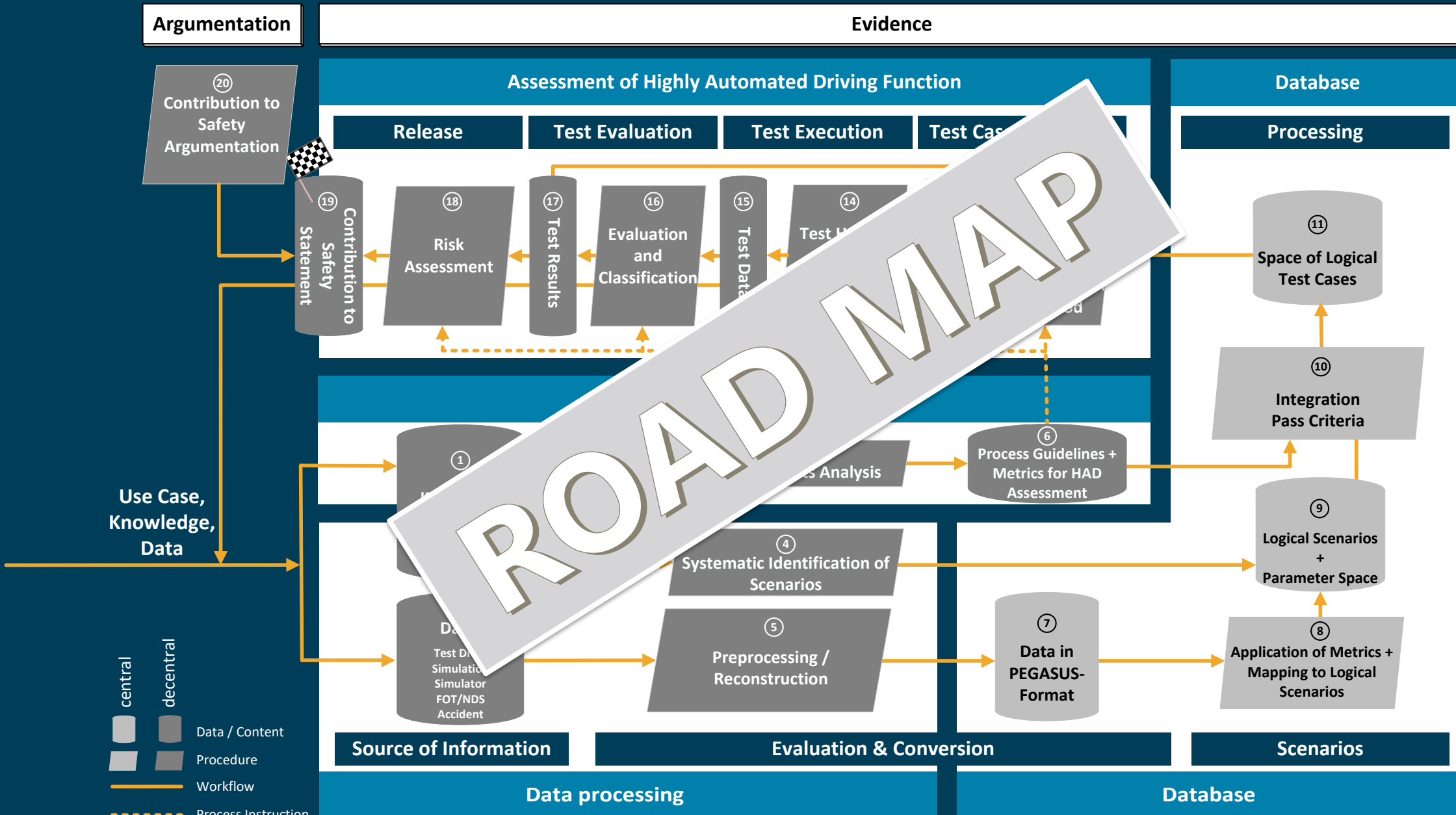
Scenario analysis

4

Testing

5

Conclusions and outlook

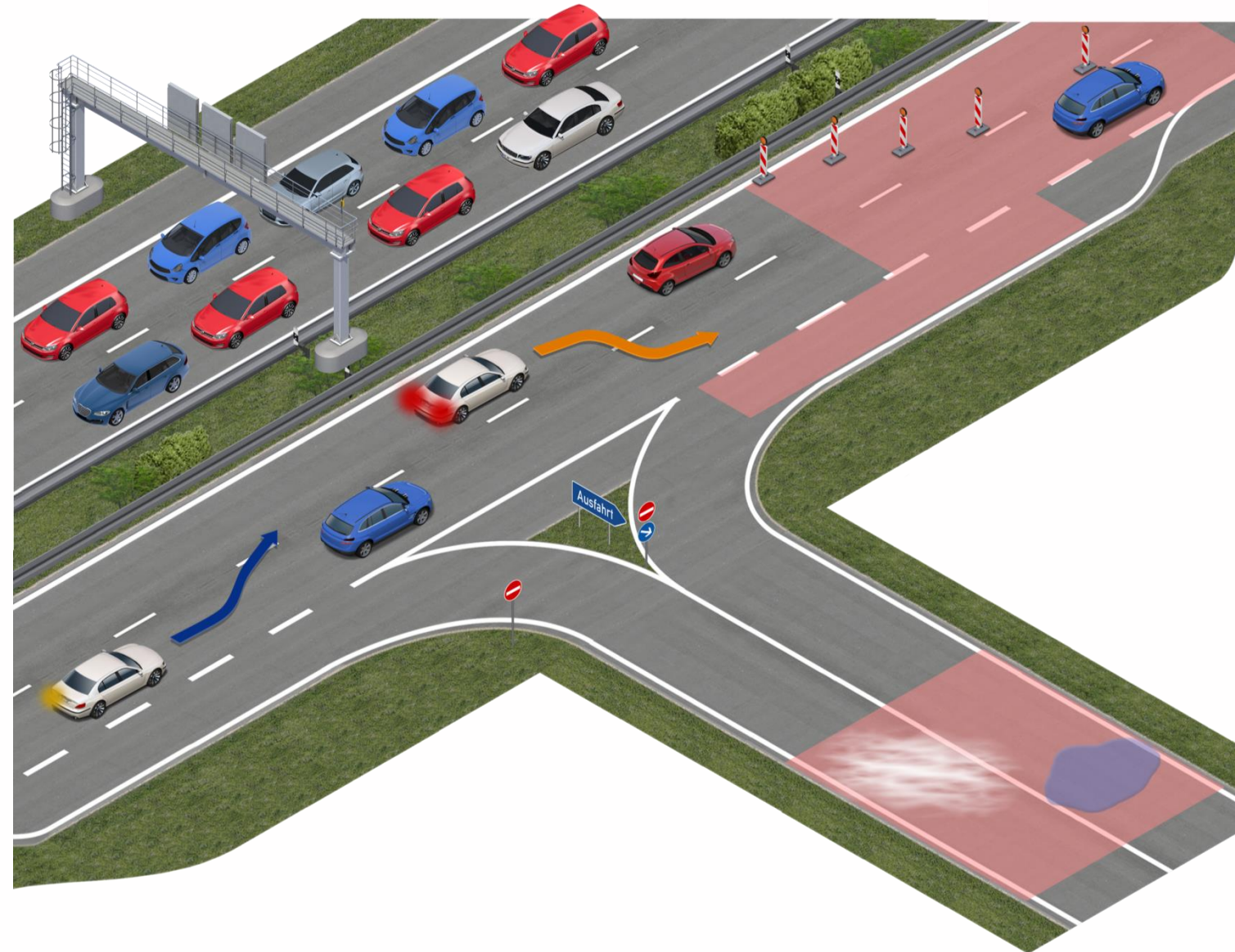




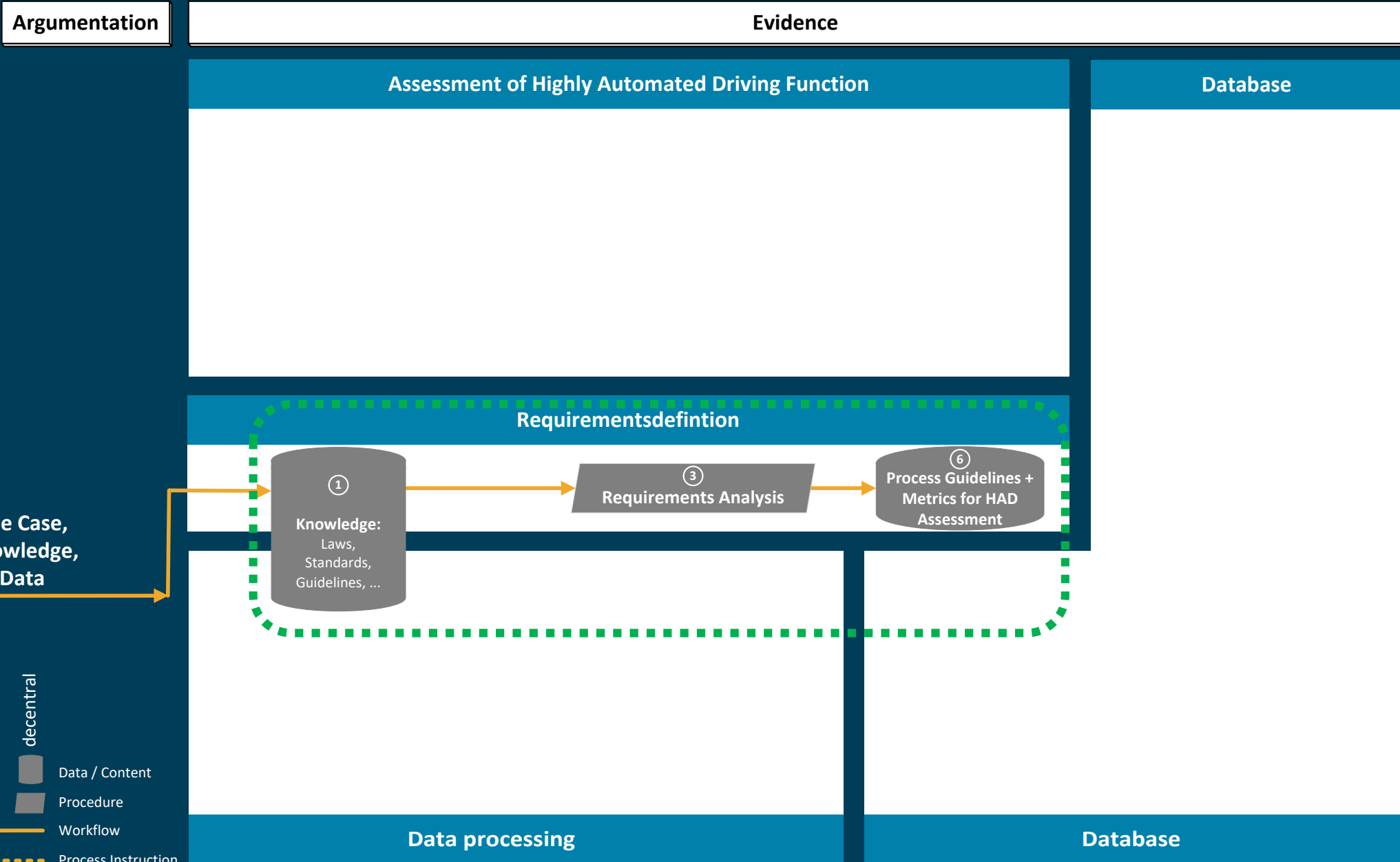


# Use case

- SAE Level 3 function (Highly Automated Driving)
- Based on an application-oriented example, highway chauffeur
  - Basic function:
    - ✓ Highways or highway-like roads incl. road markings
    - ✓ Speed 0 - 130 km/h
    - ✓ Automated following in stop & go traffic jams
    - ✓ Automated lane changing
    - ✓ Automated emergency braking and collision avoidance
  - ✗ Construction sites
  - ✗ Entering and exiting highway
  - ✗ Extreme weather conditions







# Proof of sufficient safety

## NECESSARY CONDITION

- Social consensus regarding acceptable risk is regulated by liability laws [e.g. German ProdSG §5(2)]: A product that conforms to standards or other relevant technical specifications is presumed to comply with product safety requirements
- Development according to ISO 26262 and ISO/PAS 21448 ensures “absence of unreasonable risk”

## SUFFICIENT CONDITION

- Rules of the Ethics Committee [Ethik-Kommission Automatisiertes und Vernetztes Fahren, BMVI, Juni 2017]:
  - HAD is reasonable if it promises to reduce damage in the sense of a positive balance of risk compared to human performance
  - If there is a fundamentally positive balance of risk, technically unavoidable residual risks do not preclude an introduction
- Experts from several governments, scientific institutes and the business community expect benefit of vehicle automation for traffic safety (e.g. NHTSA, EC, German Federal Government, VDA, VDI)
- The test concept developed in PEGASUS ensures exemplarily, that the systems achieve at least human driving performance



© Wikipedia





## Content

1

Overview

2

Requirements

3

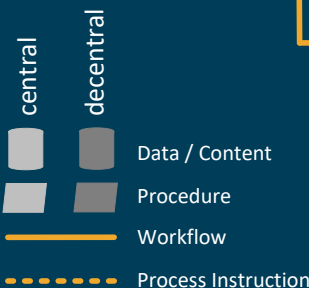
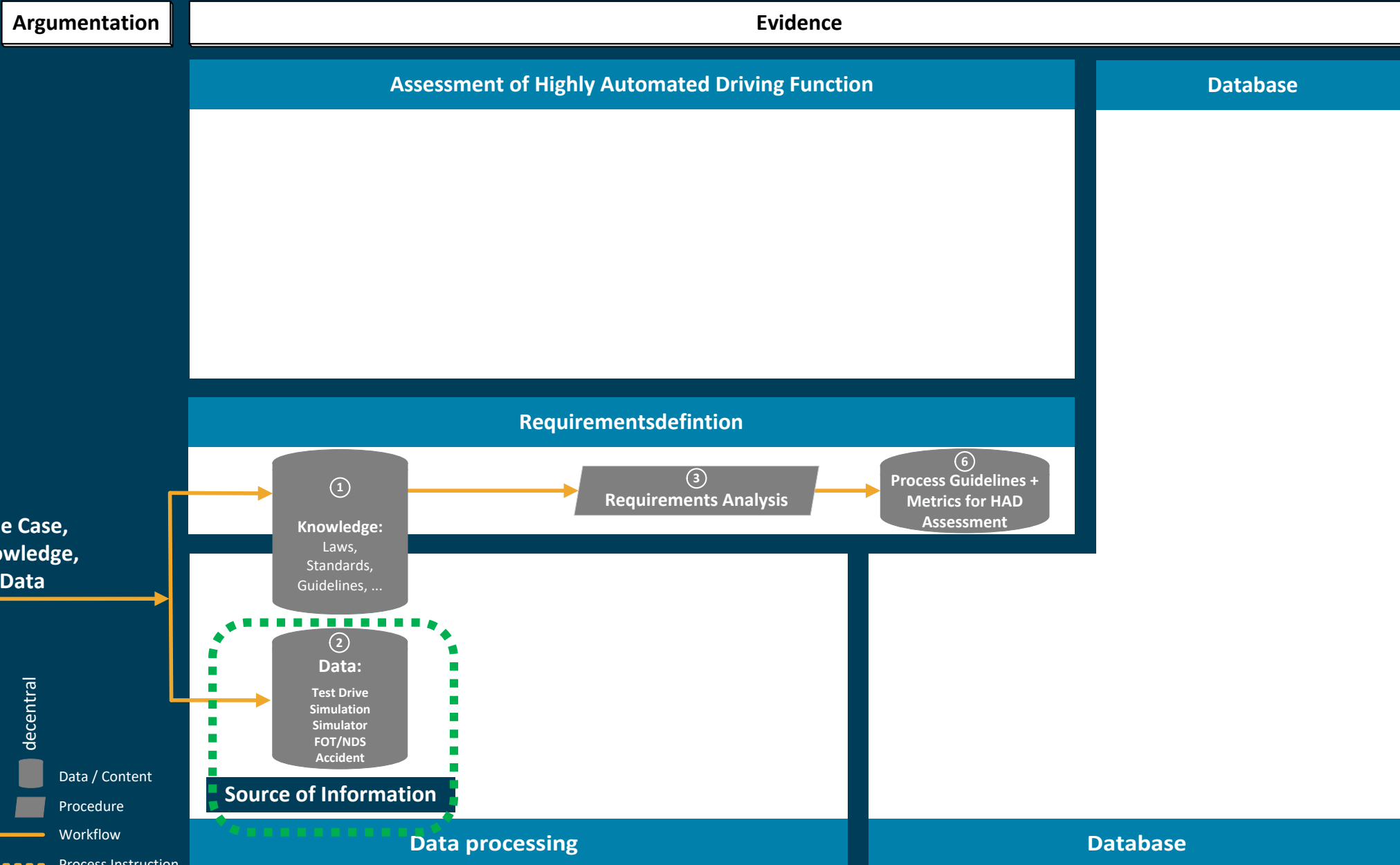
**Scenario analysis**

4

Testing

5

Conclusions and outlook





# Input data

## NDS / FOT



## Simulation



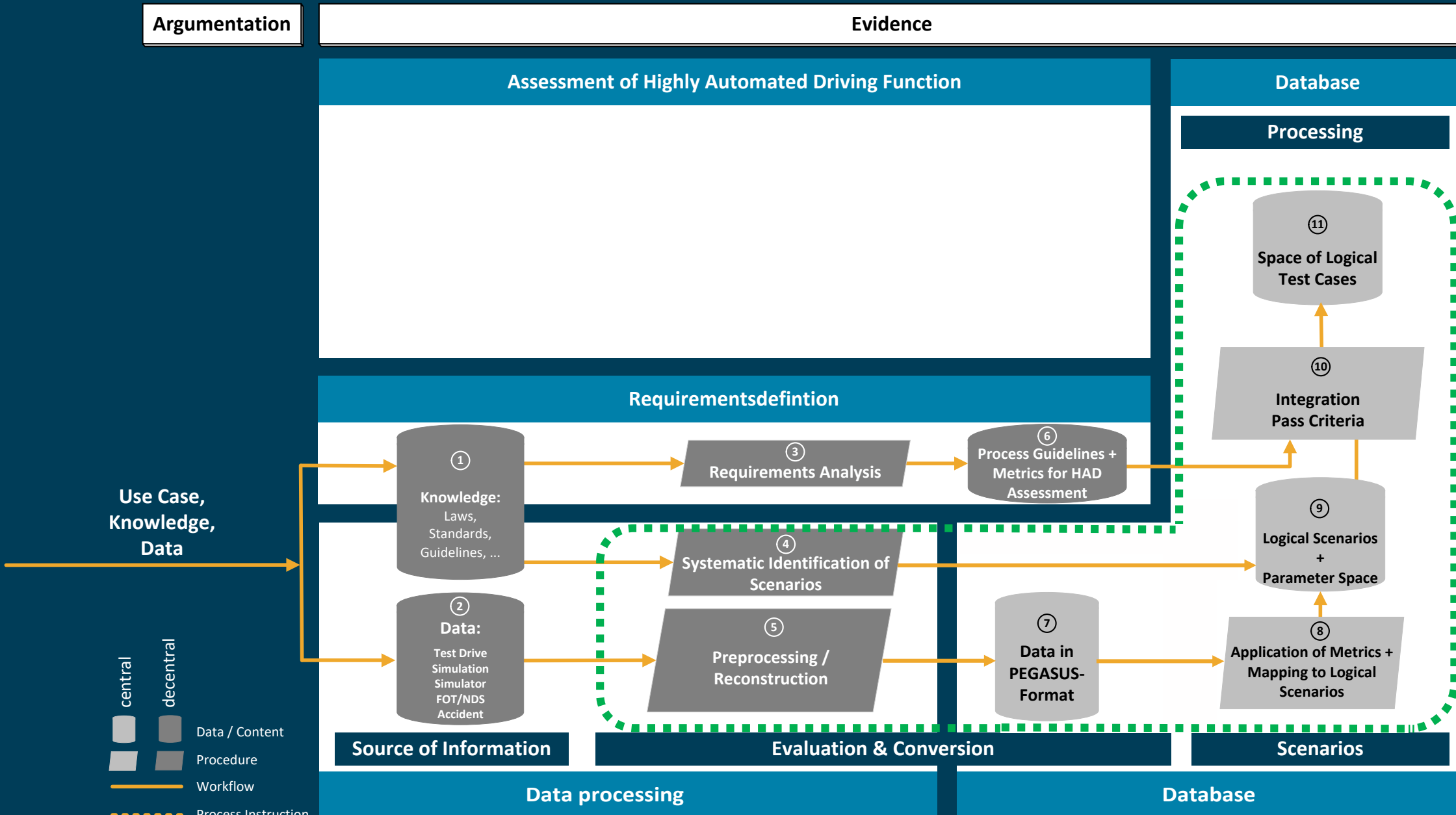
## Simulator studies



## Real world driving



Crash  
**GIDAS**  
GERMAN IN-DEPTH ACCIDENT STUDY



# Scenarios and possibilities for description

## – Levels of abstraction

Functional scenarios	Logical scenarios	Concrete scenarios
<u>Base road network:</u> Three-lane motorway in a curve, 100 km/h speed limit indicated by traffic signs	<u>Base road network:</u> Lane width [2...4] m Curve radius [0,6...0,9] km Position traffic sign [0...200] m	<u>Base road network:</u> Lane width 3 Curve radius 0,7 km Position traffic sign 150 m
<u>Stationary objects:</u> -	<u>Stationary objects:</u> -	<u>Stationary objects:</u> -
<u>Moveable objects:</u> Ego vehicle, Traffic jam; Interaction: Ego in maneuver „approaching“ on the middle lane, traffic jam moves slowly	<u>Moveable objects:</u> End of traffic jam [10...200] m Traffic jam speed [0...30] km/h Ego distance [50...300] m Ego speed [80...130] km/h	<u>Moveable objects :</u> End of traffic jam 40 m Traffic jam speed 30 km/h Ego distance 200 m Ego speed 100 km/h
<u>Environment:</u> Summer, rain	<u>Environment :</u> Temperature [10...40] °C Droplet size [20...100] µm rainfall [0,1...10] mm/h	<u>Environment :</u> Temperature 20 °C Droplet size 30 µm rainfall 2 mm/h

Level of abstraction

Number of scenarios





## Content

1

Overview

2

Requirements

3

Scenario analysis

4

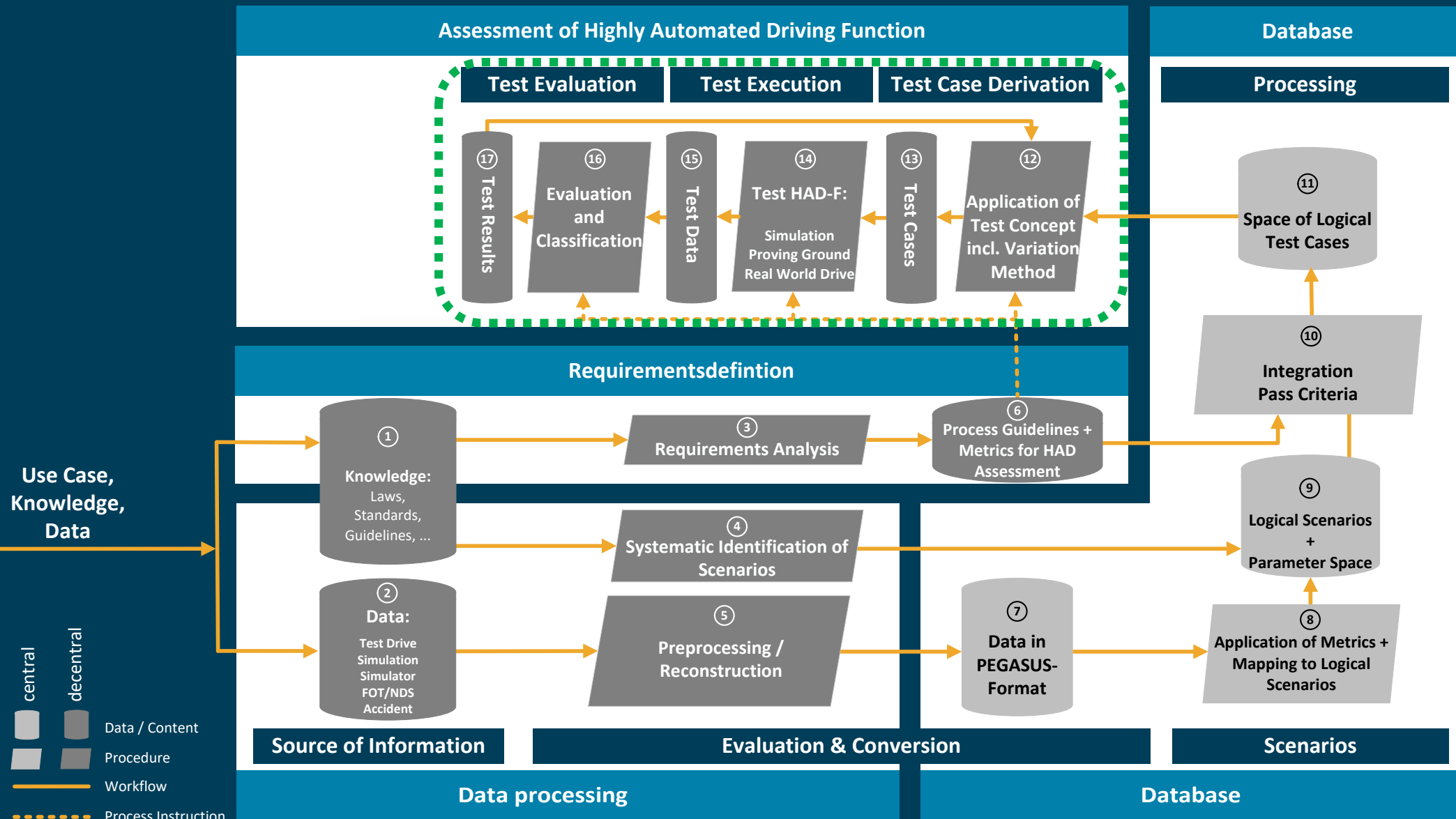
**Testing**

5

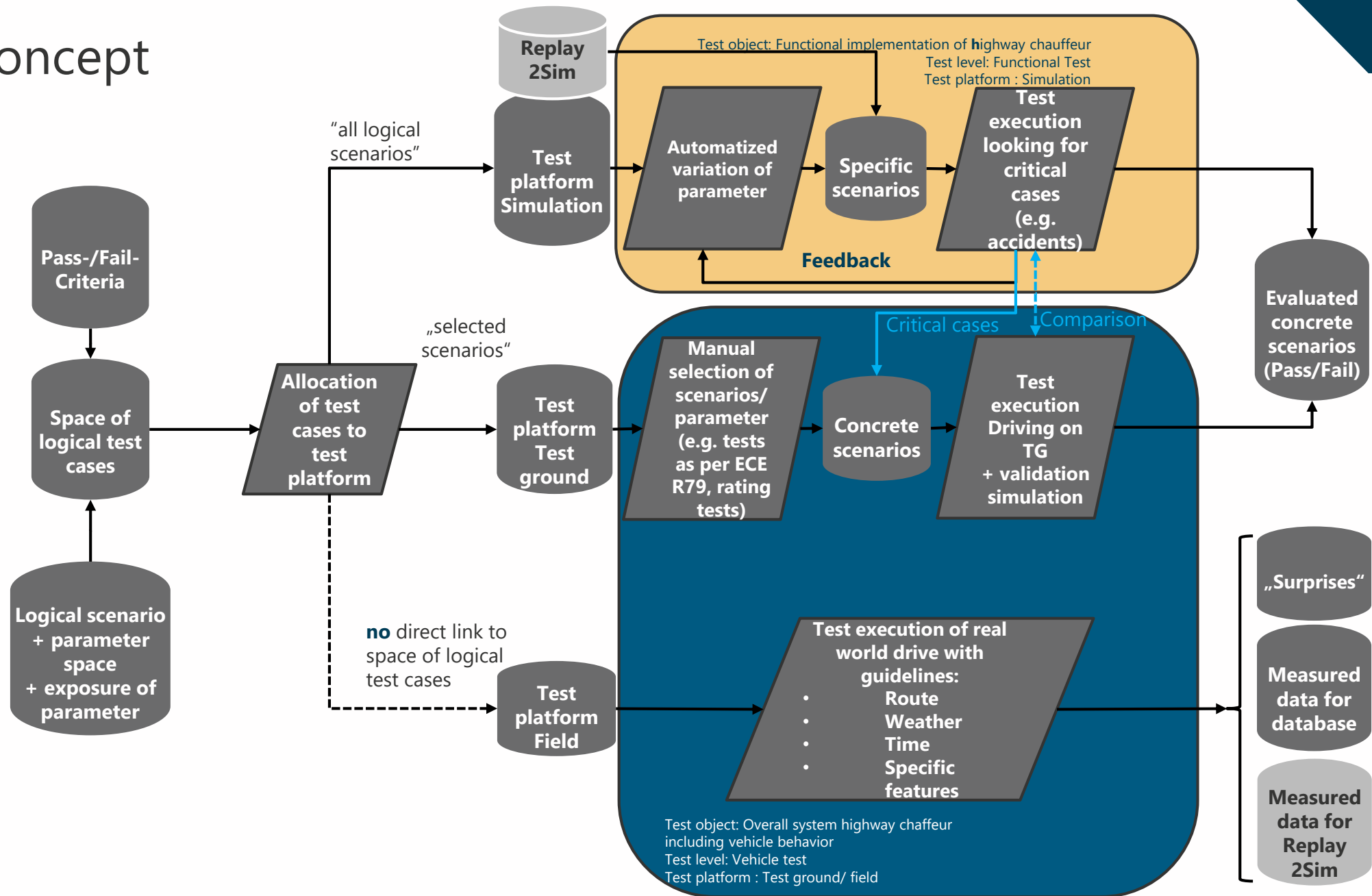
Conclusions and outlook

Argumentation

Evidence



# Test concept





# Test objectives for simulation

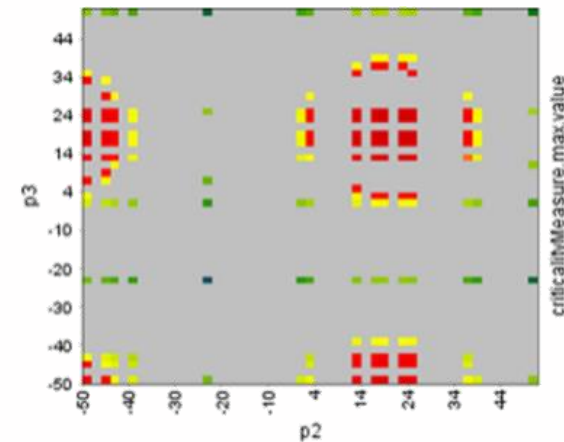
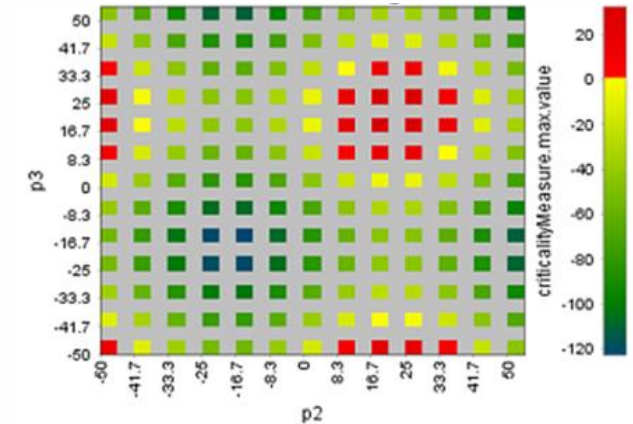
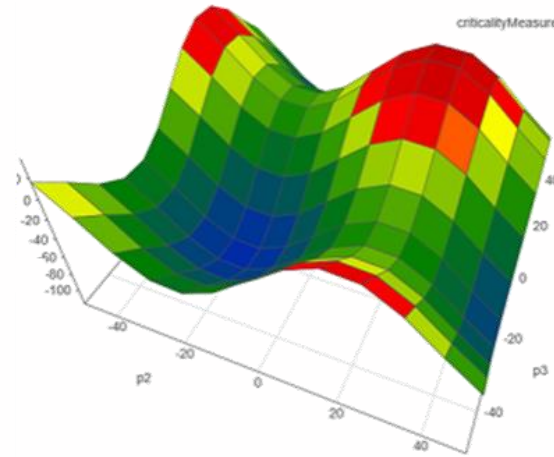
- Search for safety violations / worst case(s)



- Characterize the regions with safety violations, e.g. find their borders



- Deliver coverage reports for one or for a suite of experiments



➔ Assessment result for concrete sample scenario depending on multiple parameters. Color range from green (not critical) to red (critical)

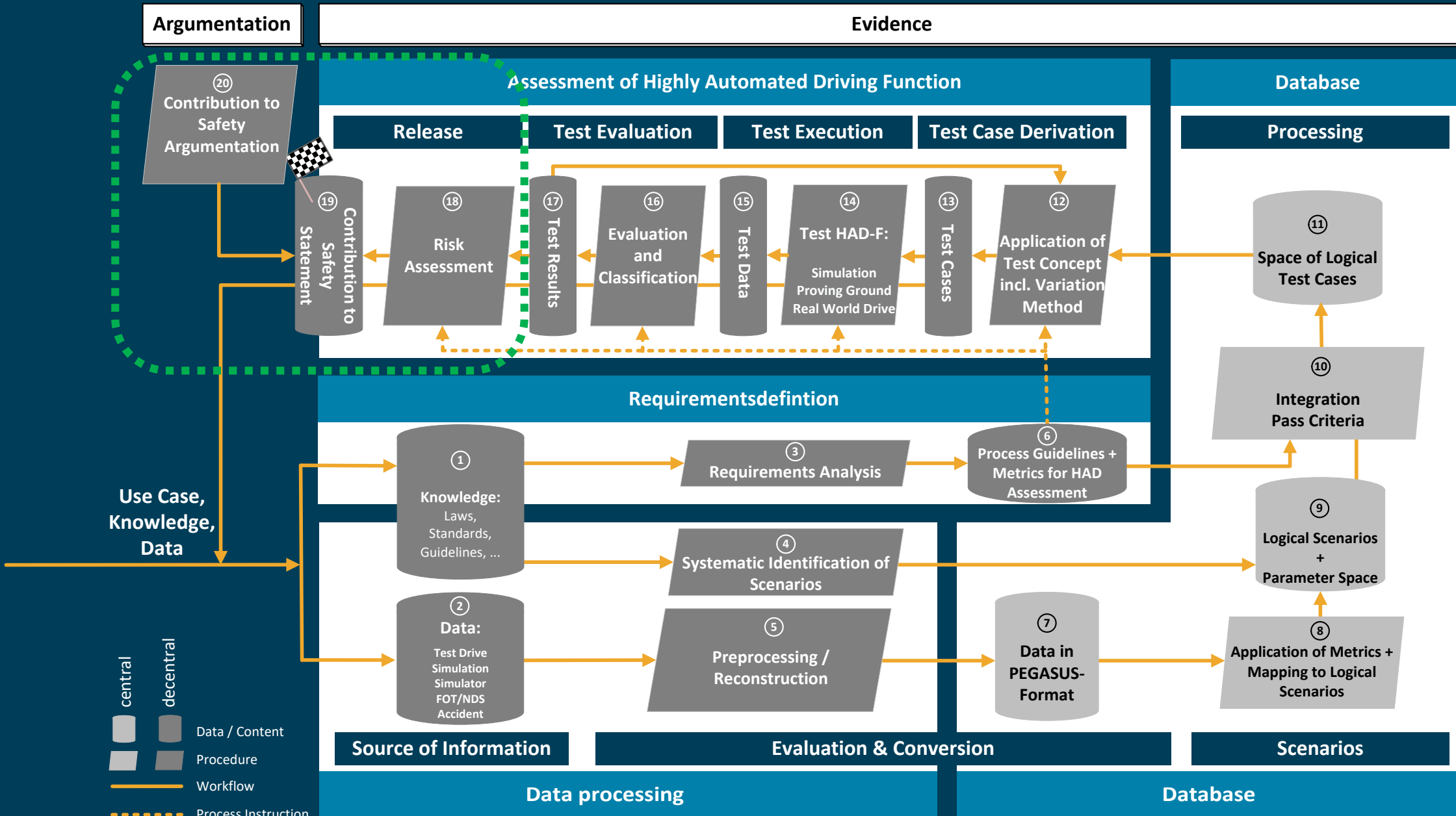
# Proving ground tests - Automated traffic simulation vehicle (TSV)



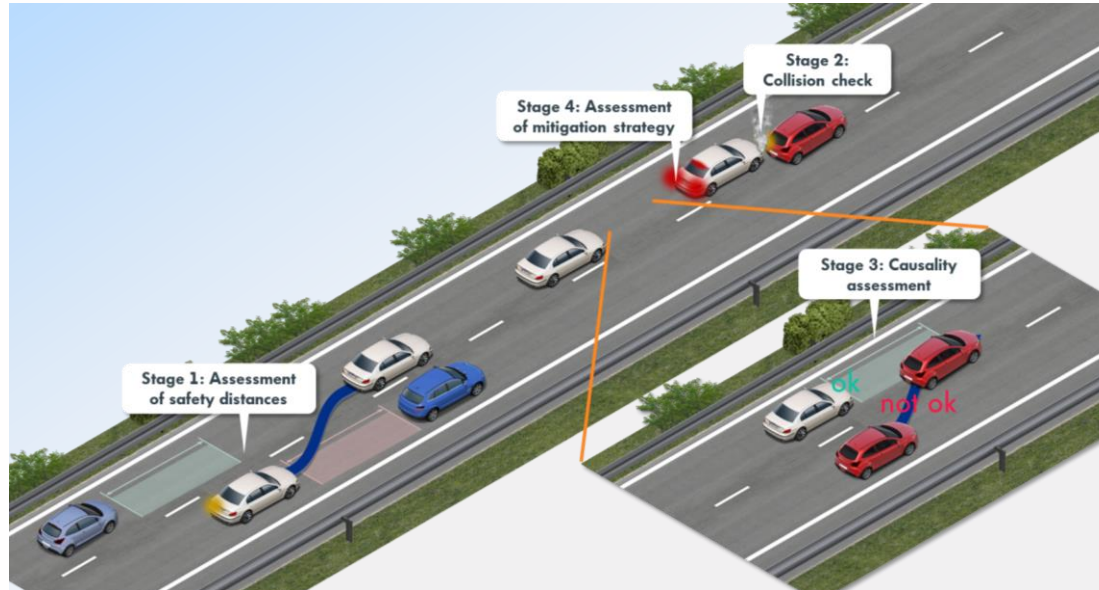
# Proving ground tests - Right cut in scenario with vehicle under test (VUT), guided soft target (GST) and 2 TSV







# Safety Statement - Assessment of a single test-case



Picture, application the different safety criteria over time. The result is PASS with stage 1 fail, stage 2 fail, stage 3 pass und stage 4 pass.

Overall Result	Safety distances (Stage 1)	Collision (Stage 2)	Causality (Stage 3)	Mitigation Strategy (Stage 4)
FAIL	fail	fail	-	fail
PASS-	fail	pass	-	-
PASS/FAIL	fail	fail	pass/fail	pass
PASS	pass	-	-	-

Picture, example of overall test-case rating based on the 4 proposed stages. 0 and 1 are indicating if a stage is failed or passed, respectively.

- The overall rating of a test-case is currently derived by aggregating the time-discrete results of the multiple stages.
- The contribution of the different stages to the overall test-case result differs depending on their character.
- Further knowledge about exposure and significance will improve strength of argument

# Layers of the Safety Argumentation



*Automated Driving Systems (ADS) are widely **accepted** in the public.*



There is an understanding of what **factors** foster acceptance of ADS.



**Top level goals** are set to be met in order to achieve acceptance of ADS.



**Logical structure** of the Safety Argumentation links top level goals with methods & tools and their results.



The Safety Argumentation is implemented using **methods & tools**.



**Results** become evident when they can be traced back to the achievement of a goal.

0

1

2

3

4

**Motivation**

**Context**

**Argumentation /  
Approval  
Recommendation**





## Content

1

Overview

2

Requirements

3

Scenario analysis

4

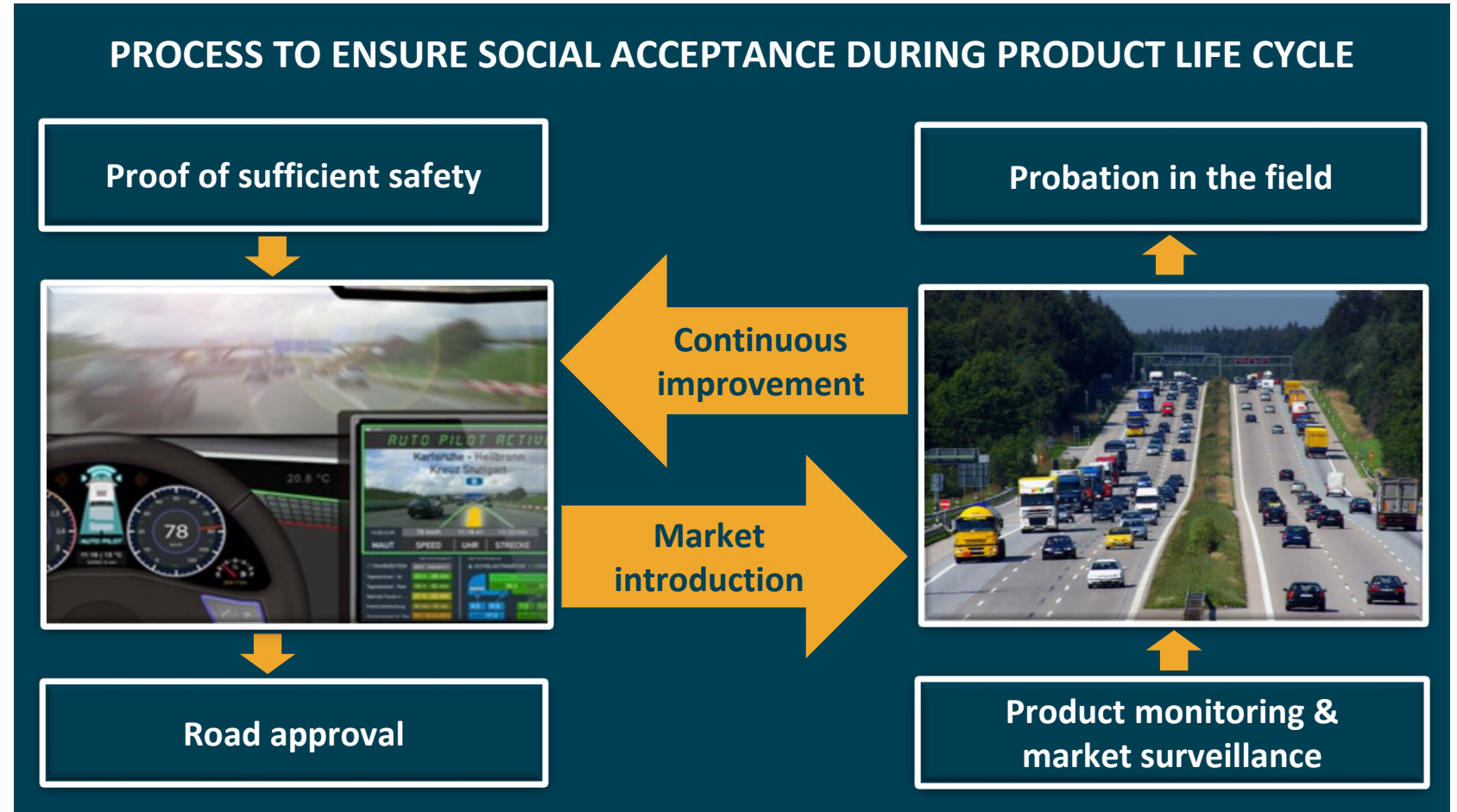
Testing

5

**Conclusions and outlook**

# PEGASUS results and product life cycle

- PEGASUS delivers a method for the assessment of level 3 HAD functions and an exemplary tool chain
- Valid statistical proof, that HAD actually meets the aforementioned safety expectations, can not be provided before it is launched on the market
- Not only proof of sufficient safety is necessary but also probation in the field and continuous improvement of systems



# Outlook

- Legal: Transfer of results to national and international legislation, regulation and standardization
- Technological:
  - Extension of autonomous driving domain to urban areas and outside cities
  - Higher levels of automation, Car2X (security and privacy), AI (proof of safety)...
- For higher levels of automation, completely new system architectures - and corresponding new safety requirements - will arise, driven by
- change from fail safe to fail operational systems (homogenous redundancy)
- increasing complexity of the processing (diversity, i.e. processing channel with low or without safety integrity level and safety monitoring channel(s) with high safety integrity level)
- Not only AD systems themselves will be affected but also braking, steering and power train as well as – for example – navigation, (high-precision) positioning and other map-based functions in the vehicle or at a backend server



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